

Please type a plus sign (+) inside this box → +

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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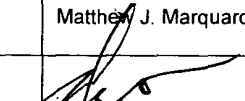
| | | |
|------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|------------------------------------|
| UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))</small> | Attorney Docket No. 3524/180 | |
| | First Inventor | Hausman |
| | Title | Complementary Trading of Interests |
| | Express Mail Label No. | EV330370816US |

22386 U.S. PTO
10/643663
08/19/03

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| APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small> 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small> 2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. 3. <input checked="" type="checkbox"/> Specification [Total Pages 21] <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none">- Descriptive title of the invention- Cross References to Related Applications- Statement Regarding Fed sponsored R & D- Reference to sequence listing, a table, or a computer program listing appendix- Background of the invention- Brief Summary of the invention- Brief Description of the Drawings (if filed)- Detailed Description- Claim(s)- Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 7] 5. Oath or Declaration [Total Pages] <ul style="list-style-type: none">a. <input type="checkbox"/> Newly executed (original or copy)b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <small>(for a continuation/divisional with Box 18 completed)</small>i. <input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small> 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 | ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none">a. <input type="checkbox"/> Computer Readable Form (CRF)b. Specification Sequence Listing on:<ul style="list-style-type: none">i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); orii. <input type="checkbox"/> paperc. <input type="checkbox"/> Statements verifying identity of above copies ACCOMPANYING APPLICATIONS PARTS 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small> 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small> 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small> 16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input type="checkbox"/> Other: _____ |
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18. If a CONTINUING APPLICATION, SEE APPLICATION DATA SHEET:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

| | | | | | |
|-----------------------------------------------------------------------|-------------------------------------------------------------------------------------|--------------|-----------------------------------|----------------------------------------------------------|-----------------|
| 17. CORRESPONDENCE ADDRESS | | | | | |
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label | | 29858 | | or <input type="checkbox"/> Correspondence address below | |
| <small>(Insert Customer No. or Attach bar code label here)</small> | | | | | |
| Name | Brown Raysman Millstein Felder & Steiner LLP | | | | |
| Address | 900 Third Avenue | | | | |
| City | New York | State | NY | Zip Code | 10022 |
| Country | United States | Telephone | 212-895-2000 | Fax | 212-895-2900 |
| Name (Print/Type) | Matthew J. Marquardt | | Registration No. (Attorney/Agent) | | 40,997 |
| Signature |  | | | Date | August 19, 2003 |

PTO/SB/92 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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05/08 U.S. PTO

08/19/03

**FEE TRANSMITTAL
for FY 2002**

Patent fees are subject to annual revision.

Complete if Known**TOTAL AMOUNT OF PAYMENT** (\$) 992

| | |
|----------------------|------------------|
| Application Number | Not Yet Assigned |
| Filing Date | August 19, 2003 |
| First Named Inventor | Hausman |
| Examiner Name | Not Yet Assigned |
| Group / Art Unit | Not Yet Assigned |
| Attorney Docket No. | 3524/180 |

METHOD OF PAYMENT (check one)

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number

02-4270

Deposit
Account
Name

Brown Raysman Millstein Felder & Steiner LLP

- ☒
- Charge Any Additional Fee Required
-
- Under 37 CFR 1.16 and 1.17
-
- ☐
- Applicant claims small entity status.
-
- See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

- ☒
- Check
- ☐
- Credit card
- ☐
- Money Order
- ☐
- Other

FEE CALCULATION**1. BASIC FILING FEE**

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------------|-----------------------|----------------------|-----------------------|------------------------|----------|
| 101 | 740 | 201 | 370 | Utility filing fee | 740 |
| 106 | 330 | 206 | 165 | Design filing fee | |
| 107 | 510 | 207 | 255 | Plant filing fee | |
| 108 | 740 | 208 | 370 | Reissue filing fee | |
| 114 | 160 | 214 | 80 | Provisional filing fee | |

SUBTOTAL (1)

(\$740)

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|------------|
| 15 | -20 ** = 0 | X 18 = 0 | |
| Independent Claims | 6 | -3 ** = 3 | X 84 = 252 |
| Multiple Dependent | | X | = 0 |

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description |
|----------------------|-----------------------|----------------------|-----------------------|------------------------------------------------------------|
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 84 | 202 | 42 | Independent claims in excess of 3 |
| 104 | 280 | 204 | 140 | Multiple dependent claim, if not paid |
| 109 | 84 | 209 | 42 | ** Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

(\$) 252

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------------|-----------------------|----------------------|-----------------------|----------------------------------------------------------------------------|----------|
| 105 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | |
| 127 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet. | |
| 139 | 130 | 1053 | 130 | Non-English specification | |
| 147 | 2,520 | 1812 | 2,520 | For filing a request for reexamination | |
| 112 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 2251 | 55 | Extension for reply within first month | |
| 116 | 410 | 2252 | 205 | Extension for reply within second month | |
| 117 | 930 | 2253 | 465 | Extension for reply within third month | |
| 118 | 1,450 | 2254 | 725 | Extension for reply within fourth month | |
| 128 | 1,970 | 2255 | 985 | Extension for reply within fifth month | |
| 119 | 320 | 2401 | 160 | Notice of Appeal | |
| 120 | 320 | 2402 | 160 | Filing a brief in support of an appeal | |
| 121 | 280 | 2403 | 140 | Request for oral hearing | |
| 138 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 2452 | 55 | Petition to revive - unavoidable | |
| 141 | 1,300 | 2453 | 650 | Petition to revive - unintentional | |
| 142 | 1,300 | 2501 | 650 | Utility issue fee (or reissue) | |
| 143 | 470 | 2502 | 235 | Design issue fee | |
| 144 | 630 | 2503 | 315 | Plant issue fee | |
| 122 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17 (q) | |
| 126 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 750 | 2809 | 375 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 750 | 2810 | 375 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 1801 | 750 | 2801 | 375 | Request for Continued Examination -RCE | |
| 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$) 0

SUBMITTED BY

Name (Print/Type)

Matthew J. Marquardt

Registration No. Attorney/Agent)

40,997

Telephone

212-895-2906

Signature

Date

August 19, 2003